

01-11-02

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Practitioner's Docket No. 782_211

PATENT

NEW APPLICATION TRANSMITTAL

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to **Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231** on January 9, 2002 under "EXPRESS MAIL" mailing label number **EL 872579572 US**.

Elizabeth A. VanAntwerp
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Box Patent Application
Assistant Commissioner for Patents
Washington D.C. 20231

Transmitted herewith for filing is the patent application of:

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Title: EPITAXIAL BASE SUBSTRATE AND EPITAXIAL SUBSTRATE

1. Papers enclosed

A. Required for filing date under 37 CFR 1.53(b) (Regular) are:

- 7 Page(s) of Specification
- 2 Page(s) of Claims
- 1 Page(s) of Abstract
- 1 Sheet of Formal Drawings (Figs. 1-3)

B. Other Papers Enclosed

- ☒ A Patent Application Bibliographic Data
- ☐ A Preliminary Amendment
- ☐ An Information Disclosure Statement
- ☐ PTO Form-1449

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1004294-010902

2. Additional Papers to Follow Under Separate Cover

☒ A combined Declaration and Power of Attorney

☒ A Claim for Priority and certified copies of:

<u>Country</u>	<u>Application Number</u>	<u>Filing Date</u>
Japan	2001-005,944	January 15, 2001
Japan	2001-387,772	December 20, 2001

☒ An Assignment Transmittal and Assignment of the invention to:

NGK Insulators, Ltd.
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3. The correspondence address for this application is: Customer No.: 025191

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4. Nonpublication Request Under 35 U.S.C. 122(b)(2)(B)(i)

[] Applicants hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

[] Applicants hereby request that the attached application **not be** published under 35 U.S.C. 122(b).

5. ☒ Amend the specification by inserting, after the title and before the first line, the paragraph:

--This application claims priority from Japanese Application 2001-005,944, filed January 15, 2001, and Japanese Application 2001-387,772, filed December 20, 2001, the entireties of which are incorporated herein by reference.--

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6. The filing fee has been calculated as shown below:

Basic Filing fee (37 CFR §1.16(a))--\$740.00				\$ 740.00
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total Claims	15 - 20 =	0	× \$ 18.00	
Independent Claims	2 - 3 =	0	× \$ 84.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$280.00	
Surcharge fees (37 C.F.R. 1.16(e))--\$130.00				
<input checked="" type="checkbox"/> late payment of filing fee <i>and/or</i>				\$ 130.00
<input checked="" type="checkbox"/> late filing of original declaration or oath				
TOTAL OF ABOVE CALCULATIONS =				\$ 870.00
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				
SUBTOTAL =				\$ 870.00
<input type="checkbox"/> Fee for processing an application filed with a specification in a non-English language (37 C.F.R. 1.17(i) and 1.52(d))--\$130.00				
<input checked="" type="checkbox"/> Assignment Fee (37 CFR §1.21(h))--\$40.00				\$ 40.00
TOTAL FEES DUE =				\$ 910.00

7. Payment of Fees and Authorization to Charge Additional Fees or Credit Overpayment

- ☐ A check in the amount of _____ is enclosed.
- ☐ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1446. A duplicate copy of this sheet is enclosed.
- ☐ Any additional filing fees required under 37 CFR 1.16.
- ☐ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,



Stephen P. Burr
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January 9, 2002
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SPB/eav

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